

B 210A (Form 210A) (12/09)

# UNITED STATES BANKRUPTCY COURT

Southern District Of New York

In re Lehman Brothers Holdings Inc.,

Case No. 08-13555

## PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

HBK MASTER FUND L.P.

Name of Transferee

Name and Address where notices to  
transferee should be sent:

HBK Master Fund L.P.  
c/o HBK Services LLC  
2101 Cedar Springs Road, Suite 700  
Dallas, TX 75201

Phone: (214) 758-6107

Last Four Digits of Acct #: N/A

Name and Address where transferee  
payments should be sent (if different from  
above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: N/A

DEUTSCHE BANK AG, LONDON BRANCH

Name of Transferor

Court Claim # (if known): Claim No. 17533

Amount of Claim Transferred: \$3,000,000.00

Debtor: Lehman Brothers Special Financing Inc.

Court Claim # (if known): Claim No. 17532

Amount of Claim Transferred: \$3,000,000.00

Debtor: Lehman Brothers Holdings Inc.

Court Claim # (if known): Claim No. 14096

Amount of Claim Transferred: \$6,970,781.47

Debtor: Lehman Brothers Special Financing Inc.

Court Claim # (if known): Claim No. 14095

Amount of Claim Transferred: \$6,970,781.47

Debtor: Lehman Brothers Holdings Inc.

Court Claim # (if known): Claim No. 14092

Amount of Claim Transferred: \$10,000,000.00

Debtor: Lehman Brothers Special Financing Inc.

Court Claim # (if known): Claim No. 14093

Amount of Claim Transferred: \$10,000,000.00

Debtor: Lehman Brothers Holdings Inc.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: /s/ J. R. Smith

Transferee/Transferee's Agent

Date: August 20, 2015

EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Special Financing Inc. (the "Debtor")  
Case No. 08-13555 (SCC) (Jointly Administered)

Claim #: 17533.01

**DEUTSCHE BANK AG, LONDON BRANCH**, its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

**HBK MASTER FUND L.P.**  
c/o HBK Services LLC  
2101 Cedar Springs Road, Suite 700  
Dallas, Texas 75201  
Telephone: (214) 758-6107  
Facsimile: (214) 758-1207  
Attention: General Counsel  
Email: legal@hbk.com

its successors and assigns ("Buyer"), all right, title and interest in and to \$3,000,000.00 (the "Claim") of Seller against Lehman Brothers Special Financing Inc., in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13555 (SCC) (Jointly Administered), or any other court with jurisdiction over the bankruptcy proceedings of the Debtor, including all rights of stoppage in transit, replevin and reclamation.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Partial Transfer of Claim by its duly authorized representative dated August 20, 2015.

**DEUTSCHE BANK AG, LONDON BRANCH**

**HBK MASTER FUND L.P.**

By: HBK Services LLC  
Investment Advisor

By:   
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

By:   
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Special Financing Inc. (the "Debtor")  
Case No. 08-13555 (SCC) (Jointly Administered)

Claim #: 17533.01

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**HBK MASTER FUND L.P.**

By: HBK Services LLC  
Investment Advisor

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

By:  \_\_\_\_\_  
Name: \_\_\_\_\_  
Title:  **Beauregard A. Fournet**  
**Authorized Signatory**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Holdings Inc. ("Debtor")  
Case No. 08-13555 (SCC) (Jointly Administered)

Claim #: 17532.01

**DEUTSCHE BANK AG, LONDON BRANCH**, its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

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**DEUTSCHE BANK AG, LONDON BRANCH**

**HBK MASTER FUND L.P.**

By: HBK Services LLC  
Investment Advisor

By:   
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

By:   
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Holdings Inc. ("Debtor")  
Case No. 08-13555 (SCC) (Jointly Administered)

Claim #: 17532.01

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**DEUTSCHE BANK AG, LONDON BRANCH**

**HBK MASTER FUND L.P.**

By: HBK Services LLC  
Investment Advisor

By: \_\_\_\_\_  
Name:  
Title:

By:  \_\_\_\_\_  
Name: **Beauregard A. Fournet**  
Title: **Authorized Signatory**

By: \_\_\_\_\_  
Name:  
Title:



EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Special Financing Inc. (the "Debtor")  
Case No. 08-13555 (SCC) (Jointly Administered)

Claim #: 14096.03

**DEUTSCHE BANK AG, LONDON BRANCH**, its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

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Attention: General Counsel  
Email: legal@hbk.com

its successors and assigns ("Buyer"), all right, title and interest in and to \$6,970,781.47 (the "Claim") of Seller against Lehman Brothers Special Financing Inc., in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13555 (SCC) (Jointly Administered), or any other court with jurisdiction over the bankruptcy proceedings of the Debtor, including all rights of stoppage in transit, replevin and reclamation.

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You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

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**DEUTSCHE BANK AG, LONDON BRANCH**

**HBK MASTER FUND L.P.**

By: HBK Services LLC  
Investment Advisor

By:   
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

By:   
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Special Financing Inc. (the "Debtor")  
Case No. 08-13555 (SCC) (Jointly Administered)

Claim #: 14096.03

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**HBK MASTER FUND L.P.**

By: HBK Services LLC  
Investment Advisor

By: \_\_\_\_\_  
Name:  
Title:

By:  \_\_\_\_\_  
Name: **Beauregard A. Fournet**  
Title: **Authorized Signatory**

By: \_\_\_\_\_  
Name:  
Title:

EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Holdings Inc. ("Debtor")  
Case No. 08-13555 (SCC) (Jointly Administered)

Claim #: 14095.02

**DEUTSCHE BANK AG, LONDON BRANCH**, its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

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**DEUTSCHE BANK AG, LONDON BRANCH**

**HBK MASTER FUND L.P.**

By: HBK Services LLC  
Investment Advisor

By:   
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

By:   
Name: \_\_\_\_\_  
Title: \_\_\_\_\_



EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Holdings Inc. ("Debtor")  
Case No. 08-13555 (SCC) (Jointly Administered)

Claim #: 14095.02

**DEUTSCHE BANK AG, LONDON BRANCH**, its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

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**DEUTSCHE BANK AG, LONDON BRANCH**

**HBK MASTER FUND L.P.**

By: HBK Services LLC  
Investment Advisor

By: \_\_\_\_\_  
Name:  
Title:

By:  \_\_\_\_\_  
Name: **Beauregard A. Fournet**  
Title: **Authorized Signatory**

By: \_\_\_\_\_  
Name:  
Title:

EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Special Financing Inc. (the "Debtor")  
Case No. 08-13555 (SCC) (Jointly Administered)

Claim #: 14092.05

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**HBK MASTER FUND L.P.**

By: HBK Services LLC  
Investment Advisor

By:   
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

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Name: \_\_\_\_\_  
Title: \_\_\_\_\_

EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Special Financing Inc. (the "Debtor")  
Case No. 08-13555 (SCC) (Jointly Administered)

Claim #: 14092.05

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**HBK MASTER FUND L.P.**

By: HBK Services LLC  
Investment Advisor

By: \_\_\_\_\_  
Name:  
Title:

By:  \_\_\_\_\_  
Name:  
Title:  **Beauregard A. Fournet**  
**Authorized Signatory**

By: \_\_\_\_\_  
Name:  
Title:

EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Holdings Inc. ("Debtor")  
Case No. 08-13555 (SCC) (Jointly Administered)

Claim #: 14093.04

DEUTSCHE BANK AG, LONDON BRANCH, its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

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DEUTSCHE BANK AG, LONDON BRANCH

HBK MASTER FUND L.P.  
By: HBK Services LLC  
Investment Advisor

By:   
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

By:   
Name: \_\_\_\_\_  
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TO: United States Bankruptcy Court for the Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Holdings Inc. ("Debtor")  
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Claim #: 14093.04

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Facsimile: (214) 758-1207  
Attention: General Counsel  
Email: legal@hbk.com

its successors and assigns ("Buyer"), all right, title and interest in and to \$10,000,000.00 (the "Claim") of Seller against Lehman Brothers Holdings Inc., in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13555 (SCC) (Jointly Administered), or any other court with jurisdiction over the bankruptcy proceedings of the Debtor, including all rights of stoppage in transit, replevin and reclamation.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Partial Transfer of Claim by its duly authorized representative dated August 20, 2015.

**DEUTSCHE BANK AG, LONDON BRANCH**

**HBK MASTER FUND L.P.**

By: HBK Services LLC  
Investment Advisor

By: \_\_\_\_\_  
Name:  
Title:

By:  \_\_\_\_\_  
Name: **Beauregard A. Fournet**  
Title: **Authorized Signatory**

By: \_\_\_\_\_  
Name:  
Title: